

# Office of Special Education

# Indicator 11 Monitoring and Compliance Guide



## Office of Special Education

# **Table of Contents**

Indicator 11: Child Find	3
Monitoring Cycle	3
Measurement	3
Compliance Guidelines	3
Correction of Noncompliance	4
First Year Finding	4
Continued Finding (Two consecutive years of having a finding)	4
Longstanding Finding (Three or more consecutive years of having a finding)	4
State Targets	5
Historical Data	5
Target Data	5
Results Driven Accountability (RDA)	5
Technical Assistance	7

#### **Indicator 11: Child Find**

#### Definition

Percentage of children evaluated within 50 days of parental consent (20 days when the student has participated in Response to Intervention (RtI) or when an expedited evaluation is required by discipline procedures identified in 511 IAC 7-44-9).

#### **Monitoring Cycle**

As part of the Indiana Department of Education's (IDOE's) integrated monitoring system, local education agencies (LEAs) are monitored for Indicator 11 compliance annually. Indicator 11 compliance monitors the percentage of children evaluated within 50 instructional days of parental consent (20 days when the student has participated in Rtl or when an expedited evaluation is required by discipline procedures identified in 511 IAC 7-44-9). LEAs are monitored by submitting their initial evaluation data during the annual DOE-EV data collection period utilizing Data Exchange.

#### Measurement

a. # of children for whom parental consent to evaluate was received.

b. # of children whose evaluations were completed within 50 days (or 20 days when the student has participated in Rtl or when an expedited evaluation is required by discipline procedures identified in 511 IAC 7-44-9).

Account for children included in (a), but not included in (b). Indicate the range of days beyond the timeline when the evaluation was completed and any reasons for the delays.

Percent = [(b) divided by (a)] times 100.

# **Compliance Guidelines**

Indicator 11 is a compliance indicator. Thus, any score beneath 100% generates a finding of noncompliance under IDEA. The percentage of on-time evaluations calculation differs from the school performance plan/annual performance report (SPP/APR) calculation in that evaluations completed after the timeline or not completed are included in the calculation. This matches the listing as "Compliant" in the Data Exchange DOE-EV data collection for those evaluations that are "Excused". "Pending" evaluations (those not completed and not required for completion until the following reporting period) are not included in the Results Driven Accountability (RDA) or SPP-APR calculation. LEAs must certify that the indication of "Compliant", "Pending", or "Noncompliant" is accurate as part of the submission of the DOE-EV data collection.

### **Correction of Noncompliance**

LEAs that do not meet timelines for initial evaluations fall into one of the following categories: first year finding, continued finding, or longstanding finding year 1+.

#### **First Year Finding**

LEAs that have a first year finding will need to complete the following tasks to correct their finding of noncompliance:

- When notified of a preliminary finding of noncompliance, complete the Data Confirmation Excel Workbook. The workbook will be submitted prior to the LEA being issued a finding of noncompliance. The workbook should be submitted to IDOE's Office of Special Education (OSE) Monitoring and Compliance inbox: osemonitoring@doe.in.gov.
- 2. Complete the Indicator 11 Root Cause Analysis and Corrective Action Plan form. Upload the completed form to the Indicator 11 JotForm.

#### Continued Finding (Two consecutive years of having a finding)

LEAs that have had a finding for more than one year will need to complete the following tasks to correct their finding of noncompliance:

- 1. When notified of a preliminary finding of noncompliance, complete the Data Confirmation Excel Workbook. The workbook will be submitted prior to the LEA being issued a finding of noncompliance. The workbook should be submitted to IDOE's OSE Monitoring and Compliance inbox: <a href="mailto:osemonitoring@doe.in.gov">osemonitoring@doe.in.gov</a>.
- 2. Complete the Indicator 11 Root Cause Analysis and Corrective Action Plan form. Upload the completed form to the Indicator 11 JotForm.
- 3. Upload LEA specific policies and procedures for initial evaluations to the Indicator 11 JotForm.
- 4. Undergo an audit by IDOE regarding the submitted policies and procedures.
- 5. Participate in targeted training opportunities.

#### Longstanding Finding (Three or more consecutive years of having a finding)

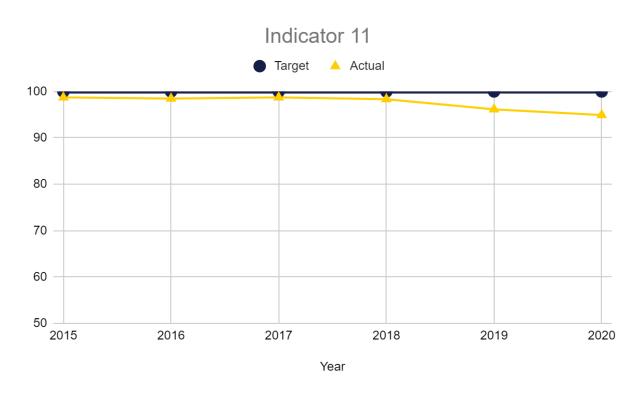
LEAs that have had a finding for more than two years will need to complete the following tasks to correct their finding of noncompliance:

 When notified of a preliminary finding of noncompliance, complete the Data Confirmation Excel Workbook. The workbook will be submitted prior to the LEA being issued a finding of noncompliance. The workbook should be submitted to IDOE's OSE Monitoring and Compliance inbox: <u>osemonitoring@doe.in.gov</u>.

- 2. Complete the Indicator 11 Root Cause Analysis and Corrective Action Plan form. Upload the completed form to the Indicator 11 JotForm.
- 3. Upload LEA specific policies and procedures for initial evaluations to the Indicator 11 JotForm.
- 4. Undergo an audit by IDOE regarding the submitted policies and procedures.
- 5. Participate in intensive training and technical assistance opportunities.

# **State Targets**

#### **Historical Data**



#### **Target Data**

Target Year	2021	2022	2023	2024	2025
Target Data	100%	100%	100%	100%	100%

# Results Driven Accountability (RDA)

Indicator 11 is included in the Compliance Matrix of RDA. When a corporation meets the target for a particular compliance indicator, they are considered "compliant" and are

assigned a score of 5. If the corporation has a "finding of noncompliance" for an indicator, the corporation is assigned a score of 1.1

For RDA purposes, if a record is closed for a legitimate reason such as the parent revoking consent, or if an evaluation is completed late those records are counted as compliant.

Category Weights for Compliance Index				
Category	Percentage Weight			
Indicator 4B	8%			
Indicator 9	8%			
Indicator 10	8%			
Indicator 11	22%			
Indicator 12	22%			
Indicator 13	22%			
Continued Noncompliance	5%			
Longstanding Noncompliance	5%			
Total	100%			

#### Scoring/Quintiles

RDA scoring for Indicators 11, 12, and 13 is as follows:

RDA Scoring for Indicators 11, 12, and 13				
Percentage Compliance	Points			
100.00% (Compliant)	5			
95.00% - 99.99% (Substantially Compliant - Finding)	5			
Less Than 95.00% (Finding)	1			

These indicators are scored the same regardless of enrollment size group.

<sup>&</sup>lt;sup>1</sup> If the compliance target (100% or 0%) is not met for the compliance indicators, the corporation is out of compliance and must correct the noncompliance within one year. For Indicators 11, 12, and 13, a corporation is designated as "substantially compliant" for the indicator and will receive a score of 5 if their compliance falls within a range of 95% - 100%. "Substantial compliance" is still considered a finding and must be corrected.

# **Technical Assistance**

Level	Resources	
Universal	December 1 Teacher and Associate and 10 the	
Targeted	Request Technical Assistance with the Office of Student Support and	
Intensive	Accessibility using this <u>form</u> .	